

# Surgical Results of Degenerative Spondylolisthesis Patients Over 70 Years Old: A Single-Center Experience and Clinical Outcomes

## 70 Yaş Üstü Dejeneratif Spondilolistezisli Hastalarda Cerrahi Sonuçlar: Tek Merkez Deneyimi ve Klinik Sonuçlar

Cem ATABEY<sup>1</sup>, Selcuk GOCMEN<sup>1</sup>, Hakan SIMSEK<sup>2</sup>, Emre ZORLU<sup>1</sup>, Mehmet Nusret DEMIRCAN<sup>1</sup>, Ahmet COLAK<sup>1</sup>, Cem DINC<sup>3</sup>

<sup>1</sup>GATA Haydarpaşa Education Hospital, Department of Neurosurgery, Istanbul, Turkey

<sup>2</sup>Kasimpasa Military Hospital, Department of Neurosurgery, Istanbul, Turkey

<sup>3</sup>Memorial Hospital, Department of Neurosurgery, Istanbul, Turkey

Correspondence address: Selcuk GOCMEN / E-mail: s\_gocmen@yahoo.com

### ABSTRACT

**AIM:** The aim of this study is to evaluate results of surgery in Degenerative Spondylolisthesis (DS) patients over 70 years old.

**MATERIAL and METHODS:** This is a non-randomized retrospective analysis of the clinical outcome of 34 patients older than 70 years who underwent surgery. At the end of two-year follow-up period, preoperative and postoperative courses were assessed by Oswestry Disability Index (ODI) and Visual Analog Scales (VAS).

**RESULTS:** The mean age was 75 years (range 70-86 years). The mean duration of symptoms was 13.5 years (range 2-30 years). All patients underwent physiotherapy and also 15 (11 female, 4 male) patients used brace. L4-L5 was the most commonly affected level (n=26), Preoperative ODI and VAS scores were mean 71,63 (range 34-100) and 6,77 (range 2-9) (p<0,05). Postoperative ODI and VAS scores were mean 22,73 (range 0-100) and 2,13 (range 0-10) (p<0,05). Patients were asked if they had known the outcome, would they accept surgical treatment or not. 86.6% (n=26) of the patients answered the question positively.

**CONCLUSION:** A conventional decompressive laminectomy with foraminotomy and posterolateral fusion in situ with transpedicular instrumentation is necessary and reduces pain and recovers functional disability in elderly patients over 70 years old with DS.

**KEYWORDS:** Degenerative spine, Spondylolisthesis, Surgery, Transpedicular fixation

### ÖZ

**AMAÇ:** Bu çalışmanın amacı, 70 yaş üstü Dejeneratif Spondilolistezisli (DS) hastalarda cerrahinin sonuçlarını değerlendirmektir.

**YÖNTEM ve GEREÇLER:** Bu çalışma, 70 yaş üstü cerrahi yapılmış 34 hastanın klinik sonuçlarının non-randomize restrospektif analizidir. 2 yıllık takip sürelerinin sonunda, preoperatif ve postoperatif dönemler Oswestry Disability Index (ODI) ve Visual Analog Scales (VAS) skorları ile değerlendirildi.

**BULGULAR:** Ortalama yaş 75 yıldır (70-86 yaş arası). Semptomların ortalama süresi 13.5 yıldır (2-30 yıl arası). Tüm hastalar fizyoterapi görmüştü ve 15 hasta (11 bayan ve 4 erkek) korse kullanmıştı. L4-L5 en sık etkilenen seviyeydi (n=26), Preoperatif ODI ve VAS skorları ortalama 71,63 (34-100 arası) ve 6,77 idi (2-9 arası) (p<0,05). Postoperatif ODI ve VAS skorları ortalama 22,73 (0-100 arası) ve 2,13 idi (0-10 arası) (p<0,05). Hastalara eğer sonucunu bilselerdi, ameliyatı kabul edip etmeyecekleri soruldu. Hastaların %86.6'sı soruya olumlu cevap verdi.

**SONUÇ:** 70 yaş üstü DS'li yaşlı hastalarda, foraminotomi ile konvansiyonel dekompresif laminektomi ve in situ posterolateral füzyon ile transpediküler enstrümantasyon gerekir, ağrıları azaltır ve fonksiyonel iyileşme sağlar.

**ANAHTAR SÖZCÜKLER:** Dejeneratif omurga, Spondilolistezis, Cerrahi, Transpediküler fiksasyon

### INTRODUCTION

Degenerative spondylolisthesis (DS) is a common disease in the elderly and L4-L5 is the most frequently affected level (4). Women and African-Americans are more commonly affected than men and whites. Diabetics are more vulnerable to DS (14). Spinal sagittal alignment and especially lumbar lordosis play a significant role in the development of DS. Patients

mostly present with neurologic claudication, radiculopathy, intermittent low back pain and vesicorectal disorders (20). In the management of DS, non-surgical treatment is controversial (12).

The aim of the study is to determine whether surgical instrumentation improves the outcome or not and discuss the risks of morbidity and mortality due to surgical approach

under the auspices of our clinical experiences in elderly patients over 70 years old.

### MATERIAL and METHODS

This was a non-randomized retrospective analysis of the clinical outcome of 34 patients older than 70 years who were treated at the Gulhane Military Medical Academy (GMMA) Haydarpasa Training Hospital, Department of Neurosurgery between January 2007 and June 2009. Patients with lumbar DS of any grade presenting with low back pain or sciatica that caused severe functional restriction were included in the study.

All patients received conservative treatment before surgery for more than 2 years. Patients with previous spine surgery, alcohol/drug abuse, malignancy and infection were excluded from the study. Plain radiographs (anteroposterior, lateral and flexion-extension) and magnetic resonance imaging were used for evaluation. All patients were informed about complication rates, risks of operation, and chance of repeated physiotherapy before surgery. Patients underwent surgery comprising conventional decompressive laminectomy with foraminotomy and posterolateral in situ fusion with transpedicular instrumentation.

At the end of two-year follow-up period, preoperative and postoperative courses were assessed. Patients were asked by the physiotherapist who led postoperative exercise program to complete a questionnaire concerning their symptoms, functional disability and pain before and after the treatment. Their disability was quantified by Oswestry disability index (ODI) and Visual analog scales (VAS). All statistical analysis was performed with SPSS (Statistical Package for Social Sciences) for Windows 15.0 program. Wilcoxon Signed Ranks test was used.

### RESULTS

A total of 34 (26 women and 8 men) patients underwent surgical treatment for DS. The mean age was 75 years (range 70-86 years). All female patients were housewives and male patients were retired civil servants. 24 patients had low back pain, 3 patients had sciatica, and 7 patients had both. The mean duration of symptoms was 13.5 years (range 2-30 years). All patients underwent physiotherapy and also 15 (11 female and 4 male) patients used brace as a conservative treatment (Table I). Conservative therapy relieved complaints temporarily and all patients had to undergo surgery due to repeated complaints. L4-L5 was the most commonly affected level (n=26), L3-L4 was the second common level (n=9) (Table II). 59% (n=20) of the patients had co-morbidities which included diabetes, hyperlipidemia, hypertension, thyroid disease (hyperthyroidism, hypothyroidism), chronic renal failure, chronic obstructive pulmonary disease, Parkinson disease, and prior of heart disease (heart failure, ischemic heart disease) (Table III). Hypertension and diabetes were the most commonly co-morbidities in preoperative periods. Intraoperatively, osteoporosis, hypertrophy of the facet joint with loss of joint fluid, mobility and rotation at the

spondylolisthetic vertebrae were observed. Blood loss was 350 ml (range 300-550 ml) and operation time averaged 150 minutes (mean 120-210 minutes). The mean hospital stay was 4 days. Postoperative course was uneventful and there were not any pedicle screw migrations. None of the patients needed a revision surgery. Two patients had dural tear requiring primary repair and fibrin glue application during the operation. No implant fractures, wound infections occurred. All were followed-up for at least two years.

Patients presenting leg pain experienced dramatic improvement in their complaints immediately after the operation. All patients had to bear with pain at the operation site. The preoperative ODI and VAS scores significantly improved at the 2<sup>nd</sup> year follow-up assessment except eight patients. Four patients continued to have leg pain at follow-up. Two of female and two of male patients died in the first year of follow-up due to cardiologic problems. Preoperative ODI and VAS scores were mean 71,63 (range 34-100) and 6,77 (range 2-9) ( $p<0,05$ ). Postoperative ODI and VAS scores were mean 22,73 (range 0-100) and 2,13 (range 0-10) ( $p<0,05$ ) (Table IV).

Patients were asked if they had known the outcome, would they accept surgical treatment or not. 86.6% (n=26) of the patients answered the question positively. 13.6% (n=4) of the patients were regretful for undergoing surgical treatment. They had residual pain after surgery and we could not find out the reason. But, that might be attributed to distraction of the spondylolisthetic level after instrumentation.

### DISCUSSION

Lumbar degenerative spondylolisthesis is quite common in elderly patients. Although most patients respond to conservative treatment, surgery is indicated when it becomes insufficient to control symptoms (21). A positive effect of surgery could be demonstrated according to the data of preoperative conservative treatment of patients in the current study and the outcome was better with fusion surgery than conservative treatment. Functional outcome and pain resolution of patients were statistically significant compared to their status before surgery, strongly suggesting that the outcome of surgery is not solely the result of decompression but also the result of the fusion with transpedicular instrumentation. The previous studies showed satisfactory clinical outcomes from fusion in spondylolisthesis with an average outcome rate of 77% (18). There was only one controlled study comparing surgical treatment with conservative treatment if there was a placebo effect of surgery. Turner et al suggested that placebo effects influence patient outcomes after any treatment; including surgery that the clinician and patient believe was effective (12). The current nonrandomized study with a 2-year follow-up rate of 88,23% shows the use of pedicular screws in managing elderly DS patients over 70 years old. The clinical outcome did not correlate with results from randomized trials by Fischgrund et al, who specifically studied DS, and results reported by Thomsen et al, who studied a mixed group of patients (7,17).

**Table I:** Summary of the Study Group Data

patient	age	sex	complaint	duration of complaint (year)	level	brace	physiotherapy	blood loss (ml)	surgery time (min)
1	74	F	LwB+Lg	2	L4-5		+	320	150
2	76	F	LwB	20	L2-3 / 3-4 / 4-5	+	+	410	200
3	70	F	LwB	4	L4-5		+	350	180
4	72	F	LwB+Lg	2	L3-4		+	420	200
5	70	F	LwB	8	L4-5		+	350	180
6	78	F	LwB	20	L4-5		+	310	120
7	74	F	LwB	15	L4-5	+	+	330	120
8	72	F	LwB	4	L4-5		+	340	150
9	85	F	LwB	15	L5-S1		+	420	200
10	71	F	LwB	10	L2-3 / 3-4 / 4-5		+	300	120
11	72	F	LwB	30	L3-4/4-5	+	+	550	210
12	79	F	LwB+Lg	2	L4-5		+	350	120
13	71	F	LwB	10	L2-3 / 3-4		+	330	120
14	73	F	LwB+Lg	4	L4-5	+	+	300	140
15	71	F	LwB+Lg	30	L4-5		+	310	120
16	74	F	LwB	10	L5-S1	+	+	380	150
17	71	F	LwB	15	L4-5		+	380	160
18	70	F	LwB	25	L3-4/4-5	+	+	390	180
19	71	F	LwB	30	L4-5		+	300	120
20	71	F	Lg	2	L4-5		+	320	130
21	80	F	LwB+Lg	2	L2-3 / 3-4 / 4-5	+	+	420	190
22	70	F	LwB	30	L4-5		+	310	120
23	74	F	LwB	30	L3-4 / 4-5	+	+	380	160
24	78	F	LwB	2	L1-2	+	+	320	120
25	70	F	LwB	30	L3-4 / 4-5	+	+	340	130
26	81	F	LwB+Lg	20	L4-5	+	+	370	150
27	86	M	LwB	8	L4-5	+	+	370	140
28	77	M	LwB+Lg	2	L2-3		+	300	180
29	75	M	LwB	15	L5-S1		+	310	130
30	80	M	LwB	20	L2-3	+	+	320	150
31	82	M	LwB	30	L4-5		+	320	140
32	81	M	LwB	2	L4-5	+	+	310	150
33	71	M	LwB	10	L4-5	+	+	330	120
34	83	M	Lg	2	L4-5		+	340	150

F: female; M: male; LwB: low back pain; Lg: leg pain; L: lumbar; ml: milliliter; min: minute.

**Table II:** Distribution of Patients' Spondylolisthesis Levels According to Sex

sex / levels	L1-2	L2-3	L3-4	L4-5	L5-S1
F (n: 26)	1	4	9	21	2
M (n: 8)		2		5	1

F: female; M: male; n: number; L: lumbar; S: sacral.

**Table III:** Summary of the Co-morbidities. 4 Patients Died in the First Year of Follow-up Due to Cardiologic Problems

Patients (n=20)	Diabetes	Hypertension	Hyperlipidemia	Thyroid disease	Chronic renal failure	Chronic obstructive pulmonary disease	Parkinson disease	Prior of heart disease	Postoperative Outcomes
1.		Yes						Yes	Exitus
3.		Yes							Good
4.	Yes								Good
8.	Yes	Yes							Good
9.		Yes		Yes		Yes			Good
10.	Yes	Yes						Yes	Good
11.		Yes		Yes					Good
14.	Yes	Yes							Good
16.		Yes							Good
17.		Yes		Yes			Yes		Good
18.	Yes	Yes							Good
20.	Yes	Yes							Exitus
21.		Yes			Yes				Good
25.		Yes	Yes			Yes			Good
26.		Yes						Yes	Good
27.	Yes	Yes						Yes	Exitus
28.						Yes			Good
29.	Yes	Yes			Yes				Good
31.		Yes							Good
32.		Yes	Yes						Exitus

**Table IV:** Mean Values of Disability Rating Index and Pain Index Before Treatment and at 2-Year Follow-Up Assessments for the Study Group. SPSS (Statistical Package for Social Sciences) for Windows 15.0

All patients	Mean preoperative scores (n=34)	Mean postoperative 24 <sup>th</sup> month scores (total 30 <sup>*)</sup>	p (Wilcoxon Signed Ranks)
ODI	71,63	22,73	P<0,05
VAS	6,77	2,13	P<0,05

(\*): Four patients died due to cardiologic problems at the postoperative first year.

**n:** number; **preop:** preoperative; **postop:** postoperative; **ODI:** Oswestry disability index; **VAS:** visual analog scale.

Clinical outcome shows a good improvement in the back pain and sciatica.

At the follow-up evaluation, the outcomes of the patients after operation were better than the outcomes of the same patients before operation who underwent conservative treatment for 2 years. The differences between outcomes were significant for pain, functional disability and overall outcome. All patients were over 70 years old and not working (without objective outcome data), and there was therefore only subjective data (pain, VAS and ODI) which show a better result with surgery than with conservative treatment. The difference between preoperative and postoperative values of VAS and ODI was statistically significant in the current study.

In contrast to our study, many retrospective studies have

reported good results in adults who had conservative treatment for spondylolisthesis (3,10,12,15,16). However, the conservative treatment in our study did not produce similar results as the literature. The patients underwent conservative treatment over and over again. The physiotherapy and brace relieved complaints temporarily. In our opinion, the treatment of DS may not be possible with conservative treatment due to osteoporosis and facet joint instability.

The placebo effect of treatment modalities is well known (19). However, the best results of conservative treatment have been reported in patients with acute onset of pain in the literature. Stenier and Micheli reported good results with brace treatment in their patients (16). In addition to that, Blanda et al reported brace treatment was not successful

for the spondylolisthesis (3). In the current study, we could not claim an optimal physiotherapy was performed but all patients used a brace at least for 2 months.

O'Sullivan reported that the patients with spondylolisthesis who underwent specially designed exercise training had good outcome (13). We could not standardize the physiotherapy. Hometowns of the patients were different cities and they underwent physiotherapy in different state hospitals. However, in the presented study, all patients underwent physiotherapy more than two years. After a silence period without pain and disability, their complaints recurred.

Surgery is a treatment modality of DS (7,20). Transpedicular fixation with or without fusion, decompressive surgery without fusion and etc. are various surgical techniques found in the literature (1,2,8,11). Some suggested good results (17) and some emphasized ineffective results and complications of surgery (5,6,8). In the presented study, the fact is that conservative treatment did not change the fate and all elderly patients over 70 years old underwent surgery.

Both preoperative and postoperative VAS used for quantification of pain and preoperative ODI has been shown to have the functional disability. VAS reflects relative rather than absolute disability. Patients were asked whether they would accept surgical treatment or not if they had known the outcome. In the current study, all patients compared their preoperative and postoperative pain scores. A few patients had residual pain after surgery. Iatrogenic neurological symptoms after spinal cord or spinal roots involvement, misguided screws, stress fractures and loosening of screws are the most dangerous reasons (5,6,9). In the current study, we could not find out any clue about the reason. But, that might be attributed to distraction of the spondylolisthesis level after instrumentation. So, reduction forces must be calculated carefully before the operation. Importance of experience in spondylolisthesis surgery is emphasized one more time.

### CONCLUSION

Although the conservative treatment is one of the chosen methods for therapy, patients inevitably undergo surgery after sometime. Consequently, conventional decompressive laminectomy with foraminotomy and posterolateral fusion in situ with transpedicular instrumentation is necessary and reduces pain and recovers functional disability in elderly patients over 70 years old with DS.

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